

130201-01

Participant Enrollment Governmental 457(b) Plan

KPERS 457 - City of Topeka

Participant Information

Last Name	First Name	MI	Social Security	Number
(The name provided MUST Provider.)	' match the name on fìle w	with Service		
Ma	iling Address		E-Mail Ad	dress
			🗆 Married 🗅 Unmarried	🗅 Female 🗅 Male
City	State	Zip Code	Mo Day Year	Mo Day Year
Home Phone	Work Ph	one	Date of Birth	Date of Hire
Check box if you prefer statements in Spanish.	to receive quarterly acco	unt		

Do you have a retirement savings account with a previous employer or an IRA? \Box Yes \Box No

Payroll Information

- □ I elect to contribute \$______ or ____% (do not complete both) (up to \$22,500.00 or 1% 100%) per pay period of my compensation as Before Tax contributions to the Governmental 457(b) Plan until such time as I revoke or amend my election.
- □ I elect to contribute \$______ or ____% (do not complete both) (up to \$22,500.00 or 1% 100%) per pay period of my compensation as Roth contributions to the Governmental 457(b) Plan until such time as I revoke or amend my election.

Paycheck Effective Date:

Mo Day Year

Investment Option Information (applies to all contributions) - Please refer to your communication materials for information regarding each investment option.

I understand that funds may impose redemption fees on certain transfers, redemptions or exchanges if assets are held less than the period stated in the fund's prospectus or other disclosure documents. I will refer to the fund's prospectus and/or disclosure documents for more information.

INVESTMENT OPTION

INVESTMENT OPTION

			INVESTIMENT OF HON				
NAME	TICKEF	R CODE	<u>%</u>	NAME	TICKER	CODE	<u>%</u>
Vanguard Target Retirement Income Inv	VTINX	VTINX		DFA US Targeted Value I	DFFVX	DFFVX	
Vanguard Target Retirement 2020 Inv	VTWNX	VTWNX		Vanguard Small Cap Index Instl	VSCIX	VSCIX	
Vanguard Target Retirement 2025 Inv	VTTVX	VTTVX		Delaware Ivy Mid Cap Growth R6	IGRFX	IGRFX	
Vanguard Target Retirement 2030 Inv	VTHRX	VTHRX		Vanguard Mid Cap Index Ins	VMCIX	VMCIX	
Vanguard Target Retirement 2035 Inv	VTTHX	VTTHX		Victory Sycamore Established Value R6	VEVRX	VEVRX	
Vanguard Target Retirement 2040 Inv	VFORX	VFORX		American Funds Growth Fund of Amer R6	RGAGX	RGAGX	
Vanguard Target Retirement 2045 Inv	VTIVX	VTIVX		DFA US Large Cap Value I	DFLVX	DFLVX	
Vanguard Target Retirement 2050 Inv	VFIFX	VFIFX		Fidelity Contrafund	FCNTX	FCNTX	
Vanguard Target Retirement 2055 Inv	VFFVX	VFFVX		Vanguard Institutional Index I	VINIX	VINIX	
Vanguard Target Retirement 2060 Inv	VTTSX	VTTSX		T. Rowe Price Capital Appreciation	PRWCX	PRWCX	
Vanguard Target Retirement 2065 Inv	VLXVX	VLXVX		Metropolitan West Total Return Bond Plan	MWTSX	MWTSX	
American Funds Capital World G/I R6	RWIGX	RWIGX		Schwab Treasury Infl Protected Secs Idx	SWRSX	SWRSX	
American Funds EuroPacific Gr R6	RERGX	RERGX		Vanguard Total Bond Market Index Inst	VBTIX	VBTIX	

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Last Name First Name			M.I.	Social Security Number	Ν	Number		
INVESTM	IENT OPTION				INVESTMEN	NT OPTION		
NAME	TICKER	CODE	%	NAME		TICKER	CODE	<u>%</u>
Dodge & Cox International Stock - I.	DODFX	DODFX		Stable Valu	ie Fund	N/A	KANSVF	
AB Small Cap Growth Z	QUAZX	QUAZX		MUST IN	DICATE WHOLE PERC	CENTAGES		= 100%

Plan Beneficiary Designation

This designation is effective upon execution and delivery to Service Provider at the address below. I have the right to change the beneficiary. If any information is missing, additional information may be required prior to recording my beneficiary designation. If my primary and contingent beneficiaries predecease me or I fail to designate beneficiaries, amounts will be paid pursuant to the terms of the Plan Document or applicable law.

You may only designate one primary and one contingent beneficiary on this form. However, the number of primary or contingent beneficiaries you name is not limited. If you wish to designate more than one primary and/or contingent beneficiary, do not complete the section below. Instead, complete and forward the Beneficiary Designation form.

Primary Beneficiary

100.00%					
% of Account Balance	Primary Beneficiary Name Date of Birth				
()	Relationship (Required - If Relationship is not provided, request will be rejected and sent back for clarification.)				
Phone Number (Optional)	🗆 Spouse 🗅 Child 🗅 Parent 🗅 Grandchild 🗅 Sibling 🗅 My Estate 🗅 A Trust 🗅 Other				
	Domestic Partner				
Contingent Beneficiary					
ັ100.00%					
% of Account Balance	Contingent Beneficiary Name Date of Birth				
()	Relationship (Required - If Relationship is not provided, request will be rejected and sent back for clarification.)				
Phone Number (Optional)	🗆 Spouse 🗅 Child 🗅 Parent 🗅 Grandchild 🗅 Sibling 🗅 My Estate 🗅 A Trust 🗅 Other				
	Domestic Partner				

Participation Agreement

Withdrawal Restrictions - I understand that the Internal Revenue Code (the "Code") and/or my employer's Plan Document may impose restrictions on transfers and/or distributions. I understand that I must contact the Plan Administrator to determine when and/or under what circumstances I am eligible to receive distributions or make transfers.

Investment Options - I understand that by signing and submitting this Participant Enrollment form for processing, I am requesting to have investment options established under the Plan as specified in the Investment Option Information section. I understand and agree that this account is subject to the terms of the Plan Document. I understand and acknowledge that all payments and account values, when based on the experience of the investment options, may not be guaranteed and may fluctuate, and, upon redemption, shares may be worth more or less than their original cost. I acknowledge that investment option information, including prospectuses, disclosure documents and Fund Profile sheets, have been made available to me and I understand the risks of investing.

Compliance With Plan Document and/or the Code - I agree that my employer or Plan Administrator may take any action that may be necessary to ensure that my participation in the Plan is in compliance with any applicable requirement of the Plan Document and/or the Code. I understand that the maximum annual limit on contributions is determined under the Plan Document and/or the Code. I understand that it is my responsibility to monitor my total annual contributions to ensure that I do not exceed the amount permitted. If I exceed the contribution limit, I assume sole liability for any tax, penalty, or costs that may be incurred.

Incomplete Forms - I understand that in the event my Participant Enrollment form is incomplete or is not received by Service Provider at the address below prior to the receipt of any deposits, I specifically consent to Service Provider retaining all monies received and allocating them to the default investment option selected by the Plan. If no default investment option is selected, funds will be returned to the payor as required by law. Once an account has been established on my behalf, I understand that I must call the Voice Response System or access the Web site in order to transfer monies from the default investment option. Also, I understand all contributions received after an account is established on my behalf will be applied to the investment options I have most recently selected.

Account Corrections - I understand that it is my obligation to review all confirmations and quarterly statements for discrepancies or errors. Corrections will be made only for errors which I communicate within 180 calendar days of the last calendar quarter. After this 180 days, account information shall be deemed accurate and acceptable to me. If I notify Service Provider of an error after this 180 days, the correction will only be processed from the date of notification forward and not on a retroactive basis.

Last Name	First Name	M.I.	Social Security Nu	mber Number	
Signature(s) and Consent					
Participant Consent					
I have completed, understand and agree	to all pages	s of this Participant Enrollmo	ent form.		
Deferral agreements must be entered int	o prior to th	he first day of the month that	t the deferral wil	l be made.	
5	1				
Participant Signature	D	ate			
A handwritten signature is required on	this form.	An electronic signature wil	l not be accepted	l and will result in a significant	delay
After all signatures have been obta	ained, this	form can be:			
Uploaded electronically to: Login to account at kpers457.org Click on <i>Upload Documents</i> to submit	OR	Sent regular mail to: Empower PO Box 173764 Denver, CO 80217-3764	OR	Sent express mail to: Empower 8515 E. Orchard Road Greenwood Village, CO 8011	1
We will not accept hand delivered forms	s at express	,			
- Convertion when presented are offered a	nd/or diotri	huted by Empower Einensiel	Comisso Inc. M	amhar FINDA/SIDC FESHis on of	filiata

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